

# Financial Planning Information

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## Risk Tolerance

### Financial Position

1) What is your current Net Worth?

- 0 - \$50 K       \$50 K - \$100 K       \$100 K - \$250 K       \$250 K - \$500 K       \$500 K +

2) What is your current annual income excluding investment income?

- < \$25 K       \$25 - \$50 K       \$50 - \$75 K       \$75 - \$100 K       \$100 K +

### Investor Style

1) If the media were to suggest that a decline in the stock market was imminent, would you move all your investments to cash?

- Definitely       Probably       Maybe       Probably not       No

2) If one of your investments earned 20% or more within a 12 month period or less, would you sell it to lock in the gain?

- Definitely       Probably       Maybe       Probably not       No

3) In a typical year, what percentage of your portfolio would you routinely reposition?

- 80% to 100%       60% to 80%       40% to 60%       20% to 40%       20% or less

4) Do you choose investments that are highly rated based on recent performance?

- Definitely       To some extent       Not a key factor

### Attitudes

1) How would you describe your level of investment knowledge?

- Extensive       Good       Fair       Limited       Nil

2) Do you read the financial papers and make investment decisions on the basis of a variety of economic indicators?

- Definitely       To some extent       Not at all

3) Certain types of investments fluctuate in value. To achieve potentially higher returns, what level of fluctuation are you willing to accept?

- Dramatic       Moderate       No losses at all

4) What is the worst 1 year return you could tolerate?

- 0% - 5%       1% - 5% loss       5% - 10% loss       10% - 20% loss       > 20% loss

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### Attitudes

5) What is the worst 1 year return you ever experienced?

- 0% - 5%       1% - 5% loss       5% - 10% loss       10% - 20% loss       > 20% loss

6) How frequently do you review the performance of your investments?

- Monthly       Quarterly       Annually       Occasionally       Rarely

7) What percentage of your portfolio do you believe should be focused more on safety than growth?

*Non-registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less

~~*Registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less~~

8) What percentage of your portfolio do you feel that you need access to for emergencies or opportunities?

*Non-registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less

~~*Registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less~~

### Time Horizon

1) What percentage of your investment portfolio will be needed to fund anticipated expenses, major purchases, or other needs over the next 5 years?

*Non-registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less

~~*Registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less~~

2) If you were to experience a sudden reduction in income, would you liquidate investments before reducing your standard of living?

*Non-registered*     Definitely       Probably       Maybe       Probably not       No

~~*Registered*     Definitely       Probably       Maybe       Probably not       No~~

### Goals

1) How many years do you expect it to be before these investments will be required to produce income?

*Non-registered*     Required now     1 - 5 years     5 - 10 years     10 - 20 years     > 20 years

~~*Registered*     Required now     1 - 5 years     5 - 10 years     10 - 20 years     > 20 years~~

2) What percentage of your total retirement income do you anticipate will come from investments?

*Non-registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less

~~*Registered*     80% to 100%     60% to 80%     40% to 60%     20% to 40%     20% or less~~